



1756  
TJK/213

IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

In re Application of: Hiroyuki Otaki et al.

Serial No. 10/072,201

Filed: February 7, 2002

) PHOTSENSITIVE COMPOSITION  
) FOR VOLUME HOLOGRAM  
) RECORDING AND PHOTSENSITIVE  
) MEDIUM FOR VOLUME  
) HOLOGRAM RECORDING  
)  
) Group Art Unit: N/A

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Sir:

Submitted herewith, is Form PTO/SB/08A (substitute for form PTO-14494/A/PTO) list of patent references, Partial European Search Report and copies of eight cited references.

Please charge any fees, if any, to the deposit account of the undersigned, No. 23-2126. An extra copy is enclosed.

Respectfully Submitted,

Date 3/10/03  
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By: [Signature]  
Timothy J. Kaefer, Reg. No. 35,567

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on

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PTO/SB/08A (10-96)

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/072,201
				Filing Date	February 7, 2002
				First Named Inventor	Hiroyuki Otaki et al.
				Group Art Unit	
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	TJK/213

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	5,952,151		Sondergeld	September 14, 1999	
	2	4,272,608		Proskow	June 9, 1981	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind code <sup>5</sup> (if known)				
	3	EP	0938027 A1		Chandross et al.	August 25, 1999		
	4	EP	0243933 A2		Bauer et al.	November 4, 1987		
	5	GB	2270172		Schonfelder et al.	March 2, 1994		
	6	WO	97/38333		Schmidt et al.	October 16, 1997		
	7	JP	XP- 002202080					
Examiner Signature						Date Considered		

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with communication to applicant.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

### Complete if Known

Application Number	10/072,201
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First Named Inventor	Hiroyuki Otaki et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	TJK/213

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	8.	Herbert Krug et al., "Fine Patterning of Thin Sol-Gel Films", Journal of Non-Crystalline solids, 1992, Pgs. 447-450, Elsevier Science Publishers, Amsterdam, NL.	

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